



**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALSTON INVESTMENTS LLC  
TRANSFEROR: ELLIOTT ASSOCIATES, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: MICHAEL STEPHAN  
40 WEST 57TH STREET  
NEW YORK NY 10019

Please note that your claim # 62745-02 in the above referenced case and in the amount of  
\$3,150,000.00 has been transferred (unless previously expunged by court order)

MERRILL, LYNCH, PIERCE, FENNER & SMITH INCORPORATED  
TRANSFEROR: ALSTON INVESTMENTS LLC  
ATTN: GREGORY W. PONDER  
214 NORTH TRYON STREET, 15TH FLOOR  
CHARLOTTE NC 28255

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 38800 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 07/26/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 26, 2013.

**EXHIBIT B**

TIME: 11:34:40  
DATE: 07/26/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

08-13555-mg

Doc 39174

Filed 08/02/13

Entered 08/02/13 17:54:22

Main Document

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Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: J.P. MORGAN SECURITIES PLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, NA C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, NA C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
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DEUTSCHE BANK AG, LONDON	TRANSFEROR: CANDLEWOOD SPECIAL SITUATIONS MASTER FUND, LTD. C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHADITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
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EPIQ BANKRUPTCY SOLUTIONS, LLC



Name	Address
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STRATEGIC VALUE SPECIAL SITUATIONS	TRANSFEROR: MERRILL, LYNCH, PIERCE, FENNER & SMITH INCORPORATED C/O STRATEGIC VALUE PARTNERS LLC 100 WEST PUTNAM AVENUE GREENWICH CT 06830
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WATERSTONE MARKET NEUTRAL MASTER FUND, LTD.	
WATERSTONE MF FUND, LTD.	

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